

THREE-DIMENSIONAL PRINTING: A CATALYST FOR A CHANGING ORTHOPAEDIC LANDSCAPE

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Abstract

- » Three-dimensional (3D) printing is an emerging tool in provider and patient education, surgical planning, and the design and implementation of medical devices and implants.
- » Recent decreases in the cost of 3D printers along with advances in and cost reduction of printable materials have elevated 3D printing within the medical device industry.
- » The advantages of 3D printing over traditional means of implant manufacturing lie in its ability to use a wide array of materials, its fine control of the macro- and microarchitecture, and its unprecedented customizability.
- » Barriers to the widespread adoption of 3D-printed implants include questions of implant durability, U.S. Food and Drug Administration (FDA) approval for patient-specific implants, and insurance coverage of those implants.

rthopaedics is primed to take advantage of technologic advances in threedimensional (3D) printing. Surgical instruments that have been developed with the latest technology, including patient-specific cutting jigs, guides, and templates, are currently in use, and initial data promise improved surgical accuracy and a reduction in operating room time. Three-dimensional-printed models tailored to patient-specific pathology improve surgical planning. Most exciting is the current research on and use of patient-specific implants and advancements in tissue engineering. Threedimensional printing has an advantage over traditional means of manufacturing because of its ability to use a wide array of materials, its fine control of the macroand microarchitecture, and its unprecedented customizability.

Three-dimensional printing, also known as additive manufacturing, has

transformed segments of the medical device industry. Used originally for rapid prototype development, 3D printing is now making inroads into the manufacturing world. The hearing aid industry converted from conventional manufacturing techniques to 3D printing in <500 days, while firms that maintained traditional processes were unable to survive¹. The expiration of several key patents has decreased the cost of 3D printers, and advances in and cost reduction of printable materials have driven much of the recent interest. In orthopaedic surgery, 3D printing is being studied and used for a variety of surgical applications² (Table I). Surgical instruments (e.g., patient-customized cutting jigs, templates, and guides) for knee arthroplasty, spinal surgery, and tumor resection currently are being used, and patient-specific implants and advancements in tissue engineering that are being investigated show

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Company	Implant (Year of FDA Approval)	Method	Material
Stryker ¹¹⁹⁻¹²³	Tritanium PL (2016), Tritanium C (2017),	Laser rapid manufacturing (LRM)	M) Ti-6Al-4V
	Triathlon Tritanium (2017), and Tritanium TL (2018)	(a form of SLM)	
Zimmer Biomet ^{124,125}	Zyston Strut Open Titanium Spacer System (2018) and OsseoTi Foot and Ankle Reconstructive Wedges (2013)	Unspecified additive manufacturing process	Ti-6Al-4\
Johnson & Johnson Medical GmbH ^{126,127}	EIT Cellular Titanium Cervical Cage (2017), EIT Cellular Titanium PLIF Cage (2017), EIT Cellular Titanium TLIF Cage (2017), and EIT Cellular Titanium ALIF Cage (2017)	SLM	Ti-6Al-4\
Centinel Spine 128,129	STALIF C FLX (2018), ACTILIF C FLX (2018), STALIF M FLX (2018), ACTILIF M FLX (2018), STALIF L FLX (2018), ACTILIF L FLX (2018), STALIF Lateral-Oblique FLX (2018), and ACTILIF Lateral-Oblique FLX (2018)	SLM	Ti-6Al-4\
Exactech ^{130,131}	Novation Crown Cup with InteGrip Acetabular Shell (2010)	EBM	Titaniun alloy
Camber Spine 132,133	SPIRA Open Matrix ALIF (2018) and ENZA-A Titanium ALIF (2018)	Unspecified additive manufacturing process	Ti-6Al-4\
Additive Orthopaedics ¹³⁴⁻¹³⁷	Hammertoe System (2016), Foot and Ankle Wedge System (2016), Bunion Correction System (2017), and Locking Lattice Plates (2019)	Unspecified additive manufacturing process	Ti-6Al-4\
SI-BONE ^{138,139}	iFuse-3D (2017)	EBM	Ti-6Al-4\

promise and the likelihood of immediate applicability²⁻⁸.

Traditional implant manufacturing occurs through subtraction processes (e.g., milling, turning, and cutting), where a larger block of material is cut down to the desired shape and size, or through forming methods, where the material is reshaped (e.g., rolling, extrusion, and forging) without adding or removing material. Threedimensional printing allows instruments and implants of predesigned shapes to be manufactured by sequential layered deposition of the selected material. While traditional manufacturing techniques generate randomly organized macropores⁹, 3D printing allows for an intentional organization of implant microarchitecture with intentional design of pore size, pore number, and pore interconnectivity9,10, regulating the elastic modulus and facilitating biointegration.

Bioprinting utilizes 3D-printing technologies to manufacture and assemble scaffolds, tissues, and cells in a precise layer-by-layer fashion to replace or repair native tissue¹¹. Scaffold matrices that are created from

natural or synthetic materials are seeded or directly printed with factors or cells that will drive tissue growth and regeneration¹². In vitro and in vivo studies have shown the efficacy of bioprinted scaffolds for facilitating chondrogenesis and repair¹³. Cellladen matrices have been constructed to induce cartilage regrowth and subchondral repair, but the zonal distribution of cartilage has been difficult to replicate 13,14. Three-dimensional bioprinting utilizing precise control of microarchitecture has shown the potential to better replicate some of the complexity of native cartilage¹³. The primary difficulty faced in the development of this technology is vascularizing implanted tissue 5,11. Tissue that is >200 μ m thick is beyond the diffusion depth of oxygen and requires a vascular network to survive^{5,11}.

This review article aims to provide a scientific overview of 3D printing, including the manufacturing process, the biologic and nonbiologic materials that are used and their relative benefits, implant architecture and durability, and the current clinical applications of 3D printing in orthopaedics.

Three-Dimensional Printing

Three-dimensional printing is a group of processes that creates objects from 3D modeling layer by layer. The first step is to produce a 3D image. Computed tomography (CT) is the most common imaging modality that is used to construct the 3D model^{15,16}. Threedimensional printers require the target object to have a discrete region that is enclosed by defined surfaces, something that DICOM (Digital Imaging and Communications in Medicine) images from CT scans do not provide16. Raw DICOM images are used to create a standard tessellation language (STL) file or an additive manufacturing file (AMF) that defines regions for the 3D printer¹⁶. The STL format does this by encompassing the "region" in interlocking triangular facets16. The newer AMF format was created to provide a more complete format by integrating more granular details such as color, texture, or differences in material¹⁶. The slice thickness of the image is critical for constructing appropriate spatial resolution, and 1.25 mm is the cutoff for creating a smooth construct¹⁵.



Specific computer-aided design (CAD) software paired with an expert operator can achieve an overall accuracy in relation to the segmented anatomy of <1 mm or $<3\%^{15}$. Contemporary clinical imaging is typically done at ultrahigh spatial resolutions of 400 to 600 μ m, with a slice thickness of <1 mm¹⁵.

Three-dimensional printing of the product is carried out after the virtual model has been constructed. There are several printing processes, which are broadly based on extrusion, powder polymerization, sintering, or droplet spraying (Table II). Cost, postprocessing, sterilization, printable materials, multi-material printing, and printing resolution and time are all considerations when choosing a printing method. Electron beam melting (EBM) and selective laser melting (SLM) are the 2 main printing methods that are used to create metal orthopaedic implants¹⁶. Generally, fused deposition molding

(FDM) has been used to fabricate biomimetic tissue (bone, liver, and cartilage)^{17,18}. Bioplotting and stereolithography (SLA) have been employed to print soft tissue (cartilage and blood vessels)¹⁹⁻²⁴. Selective laser sintering (SLS) can create hard (metal/ceramic) supportive scaffolds with a hierarchical structure for implantation²⁵⁻²⁷. Inkjet printing has been utilized to prepare organ-on-chip designs, which are microfluidic devices that are aimed at providing a controlled microenvironment for living cells^{28,29}.

Materials That Are Used in 3D Printing

Three-dimensional-printed orthopaedic implants are directed toward supporting or replacing bone or cartilage. Two broad categories of material are used in 3D printing: inorganic materials and biomaterials. Inorganic materials include thermoplastics, photopolymers, metals (including titanium and its alloys), and polyesters (including polyetheretherketone [PEEK] and its composites). Thermoplastics are plastic polymers that are used in medical models for education or planning a complex surgical approach or procedure. Bioinks use biomaterials, either natural or synthetic, combined with live cells to promote tissue regeneration³⁰. Natural biomaterials are polymers that are derived from organic resources, and, as a class, they provide better biocompatibility, biodegradability, and selfassembling abilities compared with synthetics³¹. Examples include agarose, alginate, collagen, and hyaluronic acid (HA). Synthetic biomaterials include polyethylene glycol (PEG); Pluronic (BASF), a nonionic detergent; methacrylated HA (HAMA) combined with thermosensitive hydrogels; allyl-functionalized poly(glycidol)s cross-linked with thiol-functionalized HA; and polyvinylpyrrolidone (PVP)³⁰⁻³⁴. Synthetics provide mechanical stability and good

Process	Name	Mechanics	Advantages/Disadvantages	Bioprinting Materials	Potential Application
Extrusion-based	Fused deposition modeling (FDM) ^{17,18}	Filaments are extruded through a heated nozzle and deposited in predesigned form	Can use several materials in 1 structure; slow print speed and medium resolution (~100 µm); and medium mechanical strength	Thermoplastic filament/copolymer (PLA, ABS, PVA, PET, TPU)	Used for lungs, liver tissue, bone, cartilage, and osteochondral tissue printing
	Bioplotting/direct ink writing ^{19,20}	Inks and cross-linker are simultaneously extruded through a nozzle, and then cross-linked	Can use several bioinks in 1 structure; cell-laden inks are available; medium print speed; medium resolution (~100 μm); and low mechanical strength	Polymer and biomacromolecule (alginate, gelatin, Matrigel (Corning), hyaluronic acid)	Soft tissue (blood vessels, lungs, liver)/cartilage printing
Polymerization- based	Stereolithography (SLA) or digital light processing (DLP) ²¹⁻²⁴	Polymer resins photopolymerized by laser/digital light	Can use several bioinks in 1 structure; cell- laden inks are available; fast print speed; high resolution (~2 µm); and low mechanical strength	Photopolymer resin and biomacromolecule (alginate, gelatin, Matrigel, hyaluronic acid)	Soft tissue (blood vessels, lungs, liver)/cartilage printing
Sintering-based	Selective laser sintering (SLS), selective laser melting (SLM), electron beam melting (EBM), and direct metal laser sintering (DMLS) ^{25-27,132}	Powders heated by high-energy laser and deposited in required shape	Can use 1 ceramic/metal powder in 1 structure; slow print speed; low resolution (~2 mm); and high mechanical strength	Thermoplastic/ceramic/metal powder	Complex supportive scaffol (metal/ceramic implantation)
Droplet-based	Inkjet printing ^{28,29}	Droplets sprayed onto platform where they cure in desired shape	Can use several bioinks in 1 structure; cell- laden inks available; printing condition restriction; fast print speed; high resolution (~2 µm); and low mechanical strength	Polymer and biomacromolecule (alginate, gelatin, Matrigel, hyaluronic acid)	Cell spheroid, organ-on-a- chip

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printing resolution through their mechanical and cross-linking properties, but often are combined with natural biomaterials to enhance biocompatibility.

Implant Architecture

Traditional methods of manufacturing are limited to randomly generating microarchitecture⁹. Three-dimensional printing has the potential to create customized implants that maximize durability, tissue regeneration, and biointegration by precisely controlling the implant microarchitecture. By manipulating the porosity, the pore size, the pore shape, and the pore surface curvature, 3D printing can reproduce the mechanical properties of tissue and minimize associated drawbacks³⁵.

Implant porosity establishes the architecture that is necessary for capillary growth and nutrient transportation, and increases the surface area that is available for tissue regeneration and fixation³⁶. Altering porosity also changes the elastic modulus in order to develop implants that are more consistent with cortical or cancellous bone, reduce stress-shielding, and reduce cortical atrophy of adjacent bone³⁶. However, increasing the porosity and decreasing the elastic modulus can lead to implant instability, deformation, and failure^{37,38}. Implant porosity is directly related to pore size and pore interconnectivity. A minimum pore size of 100 µm is necessary for tissue regeneration, and pores sizes that are >300 µm are recommended because of increased capillary formation and bone regeneration³⁹. Three-dimensional printing can similarly replicate the zonal microarchitecture of cartilage. Cartilage consists of 3 zones: superficial, transitional, and deep. These zones vary in extracellular matrix (ECM), cell organization, and zone-specific cell markers 14. It has been theorized that mimicking this zonal architecture will improve implant integration and performance^{32,40}.

Individual pore shape can vary among simple geometries (e.g., cubes to more complex shapes). Variability in the pore surface, specifically the degree of surface curvature and the type of curvature, has been shown to influence the rate of tissue regeneration. The degree of curvature demonstrates a proportional relationship to the rate of tissue regeneration, with mean curvatures of 0° being closest to the mean curvature of trabecular bone^{40,41}. Concave surfaces elicit an increased degree of tissue growth, and growth decreases on convex surfaces⁴². Therefore, concave and convex surfaces can be used to direct tissue growth, effectively customizing where and to what degree biointegration occurs.

Bone

Implants that are designed to repair or replace bone typically are made from titanium alloys or polyesters. Titanium provides an excellent strength-to-weight ratio, biocompatibility, biointegration, durability, a lower elastic modulus than stainless steel, and good corrosive resistance. Concerns with titanium implants are related primarily to their higher modulus of elasticity, which can lead to adjacent bone resorption, metal distortion on magnetic resonance imaging (MRI), and the potential for long-term periprosthetic osteolysis 43,44. PEEK and its composites are an increasingly popular material choice, with durability and biocompatibility that are suitable for weight-bearing implants (a modulus of elasticity similar to cortical bone, 8.3 versus 17.7 GPa), and they do not have the MRI incompatibility or hypersensitivity concerns of metallic implants 44-46. The smooth surface of PEEK prevents tissue binding, thus rendering it biologically inert44. Surface treatment or composite preparation can increase implant biointegration and include physical or chemical roughening, or coating with bioactive substances such as calcium phosphate or HA44. An example of composite preparation is an HA/ PEEK composite that combines a bioactive substance, HA, with PEEK44,46.

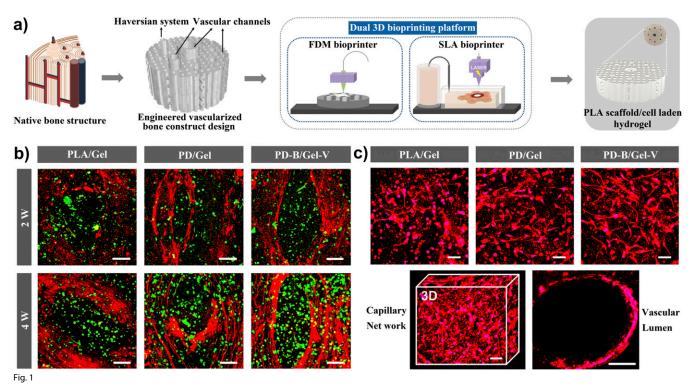
Three-dimensional-printed bioinks that are used in bone-tissue engineering have shown promise in producing new bone 47,48. Vascularization is an essential

step in order for a large 3D-bioprinted bone to be functional. One study that used a cell-laden hydrogel mixture of poly(ε-caprolactone) (PCL) polymer, tricalcium phosphate (TCP), and poloxamer 407 with a poloxamer 407 support scaffold produced vascularized bone tissue⁴⁸. Several studies have produced 3D-bioprinted biphasic artificial vascularized bone composites with wellorganized vascular networks 49-52. The construct consisted of a supportive scaffold (polylactide [PLA] fibers) and cell-laden microvascularized gelatin methacrylate (GelMA) hydrogels. Bioactive factors, such as bone morphogenetic protein-2 (BMP-2) and vascular endothelial growth factor (VEGF) peptides, were introduced into the construct to promote both osteogenesis and angiogenesis (Fig. 1). Cui et al. designed a novel 3D-printed vascularized bone tissue with a biologically inspired smart growth-factor release system⁵¹ (Fig. 2). They demonstrated the formation of well-organized vascularized bone tissue with excellent osteogenic potential, based on type-I collagen expression and calcium content.

Cartilage

Unlike bone, native cartilage has poor regenerative capacity because of its avascularity and complex architecture⁵³. Three-dimensional-bioprinting technology demonstrates promise in fabricating customized artificial constructs of cartilage tissue¹³. Cartilage bioink usually uses a hydrogel that is seeded with biologically active chondrogenic cells. Autologous chondrocytes are the most frequently used cells for cartilage implants 32,54-56, but multipotent mesenchymal stem cells (MSCs) that are capable of differentiating into chondrocyte-like cells also have been used^{32,57}. Other chondroprogenitor cells and combinations of chondrocytes and MSCs have been explored as well^{32,58-61}. An alternative to seeding a biomaterial with active cells is the incorporation of biostimulating materials, including growth factors, bioactive proteins, and matrix components, which attract host cells or stimulate





Figs. 1-A, 1-B, and 1-C Schematic illustration and fluorescence images. FDM = fused deposition modeling, SLA = stereolithography, and PLA = polylactic acid. (Reproduced, with permission, from: Cui H, Zhu W, Nowicki M, Zhou X, Khademhosseini A, Zhang LG. Hierarchical fabrication of engineered vascularized bone biphasic constructs via dual 3D bioprinting: integrating regional bioactive factors into architectural design. Adv Healthc Mater. 2016 Sep;5[17]:2174-81. Epub 2016 Jul 7. © 2016 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.) Fig. 1-A Schematic illustration of a 3D-bioprinted biphasic vascularized bone construct via a dual 3D-bioprinting platform. Fig. 1-B Immunofluorescence staining of the vascularized bone formation in the biphasic structural constructs. The fluorescence images for anti-von Willebrand factor (vWF, green) and osteopontin (OPN, red) show that the bioprinted construct with both bone morphogenetic protein-2 (BMP-2) and vascular endothelial growth factor (VEGF) (PD-B/Gel-V) possesses higher angiogenesis and osteogenesis than other control groups. The scale bars indicate 100 μm. Fig. 1-C Immunofluorescence staining of the vascular capillary network and lumen that were identified as positive for CD31 antibody in a 3D-bioprinted construct after 4 weeks. The scale bars indicate 50 μm.

them toward chondrogenesis^{32,62}. Zhou et al. reported bioprinting a series of cartilage scaffolds using GelMA (polyethylene glycol diacrylate [PEGDA] ink graphene oxide [GO] nanoparticles) with an SLA printer⁶³. The resulting cartilage tissue scaffolds demonstrated greater glycosaminoglycan (GAG) synthesis by the MSCs when compared with controls without nanoparticles.

Using 3D bioprinting, osteochondral tissues that integrate both cartilage and bone in a single construct have been created⁶⁴⁻⁶⁷. Castro et al. prepared 3D-printed biomimetic osteochondral scaffolds⁶⁵ (Fig. 3). The study found that nanoinks, bioinks that incorporate structures on the nanometer scale (e.g., nanopores or nanorods), greatly improve stem-cell adhesion and direct osteogenic and chondrogenic differentiation. In another study, Nowicki

et al. utilized an FDM-based 3D printing system to fabricate investment-casting molds with varied pore distribution over the full thickness of the high-impact polystyrene scaffold⁶⁴. The osteochondral scaffold exhibited good biologic and mechanical performance.

Recent studies have shown a possible role for mechanical cues in the growth and integration of bone and cartilage tissue. The alternating changes in tissue pressure from low-intensity pulsed ultrasound (LIPUS) have been hypothesized to induce micromechanical stresses, resulting in its previously demonstrated effects in fracture-healing⁶⁸⁻⁷¹, wound-healing⁷², and the treatment of glaucoma⁷³. In vitro experiments suggest that LIPUS treatments induce multifunctional effects that are linked with bone formation and resorption⁷⁴. LIPUS enhances the proliferation of MSCs and their osteogenic⁷⁵ and chondrogenic⁷⁶

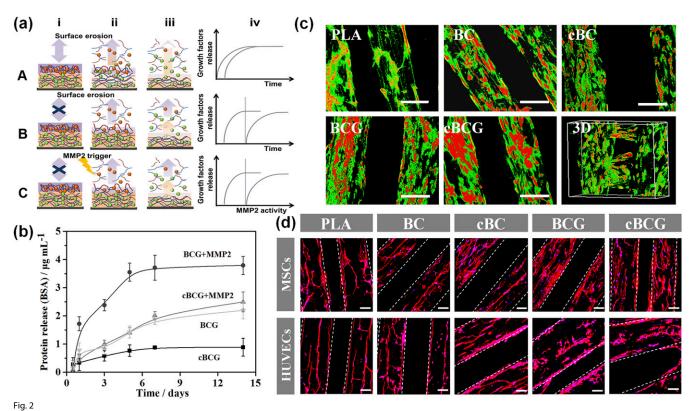
differentiation on 3D-printed tissue constructs (Figs. 4-C through 4-H)⁷⁶.

Microbubbles that are coated by a monolayer of lipids, proteins, or other surface-active molecules are strong reflectors of ultrasound⁷⁷⁻⁸¹. LIPUS in the presence of lipid-coated microbubbles shows significantly enhanced chondrogenesis of MSCs on a 3Dprinted PEGDA hydrogel scaffold. GAG production increased by 17% (5% by LIPUS alone) and type-II collagen production increased by 78% (44% by LIPUS alone)82. Similar enhancement of osteogenic differentiation of MSCs also was found in 3D-printed PLA scaffolds, and the enhancement was larger than when LIPUS was used alone (Figs. 4-A through 4-E)⁸³.

Durability

The lack of assurance on the biomechanical durability of 3D-printed metal





Figs. 2-A through 2-D Illustrations and fluorescence images. BSA = bovine serum albumin, BC = bioactive nanocoating (Gel/poly-L-lysine [PLL]₂₀)-modified PLA, BCG = bioactive nanocoating with growth factors, cBC = Genepin (Gnp) cross-linked bioactive nanocoating [(Gel/PLL)₂₀] Gnp-modified PLA, cBCG = Gnp-cross-linked bioactive nanocoating with growth factors, and PLA = polylactic acid. (Reproduced, under Open Access license CC BY 4.0, from: Cui H, Zhu W, Holmes B, Zhang LG. Biologically inspired smart release system based on 3D bioprinted perfused scaffold for vascularized tissue regeneration. Adv Sci (Weinh). 2016 Apr 15;3[8]:1600058. © 2016 The Authors. Published by WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.) Fig. 2-A Schematic illustration of a controllable smart bioactive factor release (C) in the 3D-bioprinted bone when compared with traditional layer-by-layer film absorption with surface erosion release mode (without [A] and with [B] cross-linking). The bone morphogenetic protein-2 (BMP-2) (green spheres) and vascular endothelial growth factor (VEGF) (red spheres) are loaded into films composed of polylysine (blue) and matrix metalloproteinase (MMP) trigger-cleavable gel (red). Fig. 2-B Protein release profiles of nanocoating with bovine serum proteins within 2 weeks. Fig. 2-C Confocal microscopy images of human bone marrow mesenchymal stem cells (MSCs, green) and human umbilical vein endothelial cells (HUVECs, red) cocultured on various scaffolds for 5 days. The scale bars are 200 μm. Fig. 2-D Fluorescence microscopy images of MSCs and HUVECs on the 3D-bioprinted vascularized bone scaffolds with F-actin (red) and nucleus (blue) staining for 3 days. The MSCs had a well-distributed spread on the scaffold surface, while the HUVECs formed aggregative microvascular networks. The scale bars are 100 μm.

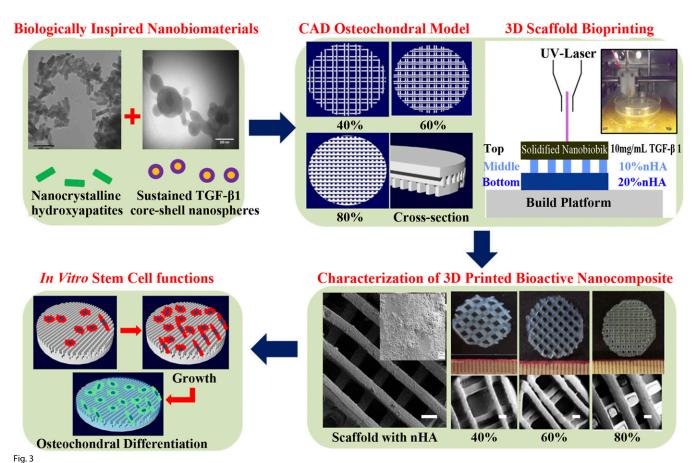
implants, when compared with traditional manufacturing techniques, is a hurdle to their widespread clinical adoption. Internal defects, porosity, residual stresses, and surface topography can all contribute to implant fatigue and failure in both wrought and 3D-printed materials^{84,85}. The National Institute for Standards and Technology (NIST), a branch of the U.S. Department of Commerce, has established standards for assessing the durability of traditionally wrought materials by using guidelines set forth by ASTM International⁸⁶. Additionally, NIST has a separate set of guidelines for assessing 3D-printed materials⁸⁷. It recommends testing deformation properties (tension, compression, bearing yield strength, modulus, and hardness) and failure properties (fatigue, fracture toughness, and crack growth)⁸⁷. Commercially available 3D-printed implants are expected to meet the same standards as traditionally manufactured implants to achieve U.S. Food and Drug Administration (FDA) approval⁸⁸. Cyclic tension and compression stresses are recommended to replicate the in vivo stresses that are most likely to result in implant failure⁸⁹.

SLM and EBM metal implants have a natural rough surface when initially crafted ⁹⁰. This rough surface of asbuilt materials, materials that have not undergone post-processing surface treatments, provides crack initiation sites that can contribute to reduced fatigue resistance in SLM or EBM-made

materials ⁹⁰. Although 3D-printed materials that are crafted with SLM or EBM initially show shortened fatigue lives when compared with wrought materials, early testing shows that parity can be achieved with the addition of post-processing surface or heat treatments, which can increase the fatigue resistance of SLM or EBM-made materials ⁹⁰.

Although early clinical outcomes have been positive, long-term data can highlight concerning signs that may predict future failure of 3D-printed commercial implants. Early data from 109 hip replacement operations using the 3D-printed Stryker Tritanium acetabular cup in 95 patients showed a 98% survival rate at an average of 4.24 + 1.49





Overview of 3D printing of a biomimetic nanocomposite osteochondral scaffold. UV = ultraviolet. *Top left:* tissue-specific nanobiomaterial-based printing inks for osteogenic (nHA = nano-hydroxyapatite) and chondrogenic (transforming growth factor [TGF]-B1-loaded core-shell nanospheres) differentiation of mesenchymal stem cells (MSCs). *Top right:* Computer-aided design (CAD) models of porous scaffold design and composition. *Bottom left:* Scanning electron microscopy and photographic images of the fabricated scaffolds with different porosities. *Bottom right:* an in vitro MSC function study. (Republished with permission of the Royal Society of Chemistry [Great Britain], from: Integrating biologically inspired nanomaterials and table-top stereolithography for 3D printed biomimetic osteochondral scaffolds. Castro NJ, O'Brien J, Zhang LG. Nanoscale. 2015 Sep 7;7[33]: 14010-22; permission conveyed through Copyright Clearance Center, Inc.)

years. Radiographs at 1 year demonstrated a 30.3% incidence of radiolucency in 2 DeLee zones and an 8.2% incidence in 3 zones⁹¹. At 5 years, this incidence jumped to 40.0% and 17.1%, respectively⁹¹. Another study retrospectively compared the same Stryker cup to the Stryker Trident cup, manufactured by traditional means, with two 130-patient cohorts⁹². That study showed increased radiolucent lines in the Tritanium cup (36.1% at 3 months and 60.7% at the time of final follow-up [41.3 months]) and decreased radiolucent lines in the Trident cup (2.5% at 3 months and 0.8% at the time of final follow-up [38.1 months])⁹².

Metal implants generally are designed to remain permanently in the body, whereas 3D-printed biodegradable tissue scaffolds are designed to be

degraded and replaced over time by native tissue; the intended durability depends on the materials that are used and the specific clinical function of the implanted tissue. Xu et al. implanted a 3D-printed PCL/hydroxyapatite scaffold into a longbone defect in a goat. New bone formation occurred in the scaffold at 4 weeks postimplantation, and the scaffold was entirely replaced by new bone tissue at 12 weeks postimplantation¹⁸. In a study of 5 patients with 3D-printed metallic mandibular implants, Mangano et al. reported preserved function and alignment after 2 years of loading²⁶.

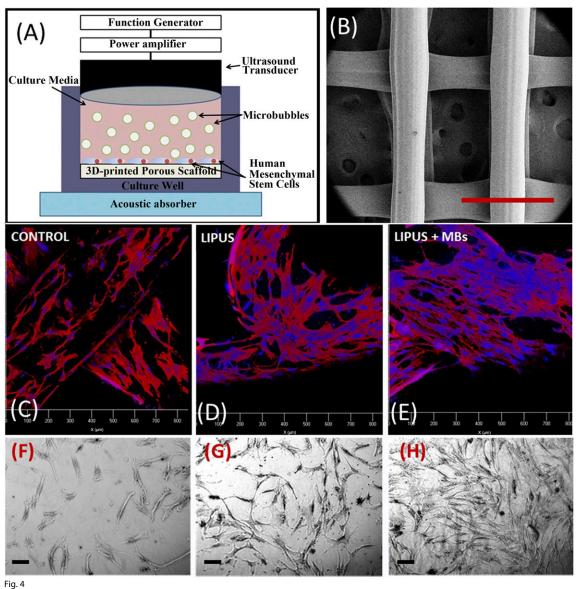
Orthopaedic Surgical Guides/ Models

Three-dimensional-printed models based on individual patient imaging can

mimic complex anatomy and unusual clinical circumstances, and do so in an affordable fashion. Surgical planning with these models allows a surgeon to preoperatively recognize challenges that may be encountered with a specific patient's anatomy and to develop surgical strategy, reduce operating room time, and improve patient outcomes ^{2,93}. Some authors have shown that less-experienced surgeons may benefit the most by using 3D models to preoperatively assess, plan, and practice ⁹³.

Patient-specific instrumentation (PSI) in the form of intraoperative guide templates and jigs utilizes preoperative images to construct a 3D physical mold that fits over the surgical site. Slits and holes that are designed into the mold direct surgical instrumentation and facilitate





Figs. 4-A through 4-H Three-dimensional-printed scaffolds, confocal images, and microscopic images. Fig. 4-A The experimental setup of exposing 3D-printed scaffolds and stem cells to low-intensity pulsed ultrasound (LIPUS) with and without microbubbles (MBs) present. Fig. 4-B Three-dimensional-printed polylactic acid (PLA) scaffolds used in experiments by Osborn et al.⁸³ (red scale bar = 1 mm). Figs. 4-C, 4-D, and 4-E Confocal images of mesenchymal stem cells seeded on PLA scaffolds after 3 days of culture in an osteogenic media (cytoskeleton and cell nuclei stained using Texas Red-X phalloidin [red] and DAPI [4',6-diamidino-2-phenylindole] [blue]). Fig. 4-C control (no LIPUS or MBs). Fig. 4-D LIPUS stimulation. Fig. 4-E LIPUS stimulation with the presence of MBs. (Figs. 4-A through 4-E are reproduced, with permission, from: Osborn J, Aliabouzar M, Zhou X, Rao R, Zhang LG, Sarkar K. Enhanced osteogenic differentiation of human mesenchymal stem cells using microbubbles and low intensity pulsed ultrasound on 3D printed scaffolds. Adv Biosys. 2019;3(2):1800257.© 2018 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.) Figs. 4-F, 4-G, and 4-H Microscopic images of mesenchymal stem cells after 5 days of culture in a chondrogenic media. (Reproduced, under Open Access license CC BY 4.0, from: Aliabouzar M, Zhang LG, Sarkar K. Lipid coated microbubbles and low intensity pulsed ultrasound enhance chondrogenesis of human mesenchymal stem cells in 3D printed scaffolds. Sci Rep. 2016 Nov 24;6:37728.) Fig. 4-F Control (no LIPUS or MBs). Fig. 4-G LIPUS stimulation. Fig. 4-H LIPUS stimulation with the presence of MBs.

implant navigation. In 1998, a 3D-printed PSI guide was utilized to improve the placement accuracy of spinal pedicle screws⁹⁴. PSI guides have since been developed for additional clinical indications in the spine, the knee, the hip, and the shoulder, and with orthopaedic trauma

surgeries, and some authors have shown modest increases in implant accuracy and reductions in operating room time^{2,95}.

Hip

Three-dimensional-printed PSI templates have been used as an alternative to

conventional intraoperative computer assistance for central pin placement in the femoral neck during hip resurfacing. The drilling templates were as accurate as the computer-assisted techniques and had the same ease of use as the traditional mechanical guides ⁹⁶.



Shoulder

Total shoulder arthroplasty outcomes are dependent on accurate glenoid component placement. In cases with severe glenoid arthritis and bone loss, or with surgeons who have limited intraoperative experience, 3D-printed patient-specific glenoid guides that have been used to direct the central glenoid guidewire have reduced the error of guide placement and improved the mean guidewire placement in both the vertical and horizontal planes⁹⁷.

Trauma

Three-dimensional-printed PSI has been used in orthopaedic trauma for osteotomy cutting guides of the radius and the tibial plateau and as a navigational guide for ankle ligament reconstruction ⁹⁸⁻¹⁰⁰.

Knee

A study using 3D-printed patient-specific cutting guides for femoral varization osteotomy showed precise limb correction, decreased operative time, and decreased time under fluoroscopy 101. Malposition during unicompartmental knee arthroplasty can lead to early failure and to unequal wear. Patient-specific cutting blocks and guides have been used to improve the accuracy of such cases 102,103.

Spine

PSI has been utilized in the stabilization of the cervical spine for subaxial pedicle screw and C2 laminar screw placement. In a comparison of 4 methods (landmarks, fluoroscopy, image-guided surgery, and PSI) for inserting cervical pedicle screws, the use of landmarks resulted in inaccuracy rates as high as 87.5%. Fluoroscopy, which is the gold standard, was roughly 85% to 91% accurate. Image-guided surgery had good accuracy (76% to 97%) but was expensive and had a high learning curve. PSI was 80.6% to 100% accurate, had a low learning curve, required less radiation exposure, and reduced operating room time when compared with

fluoroscopy^{104,105}. Some benefit has been reported using PSI for thoracic pedicle screw placement, but no benefit has been reported for lumbar screw placement, ¹⁰⁶.

Orthopaedic Implants

There are 2 broad uses for 3D printing in designing patient-specific metal implants: (1) scaled implants that resemble traditionally manufactured implants but are scaled or sized with 3D printing to be more suitable to an individual patient, and (2) customized implants, tailored to a specific patient's anatomic variations. The Stryker interbody fusion cages are an example of scaled implants. These cages are tailored in height, width, depth, and angle to better accommodate an individual without changing the general design of the implant 107. Scaled implants currently represent the largest share of commercial in vivo 3D-printed patientspecific implants. Customized implants also have been used to treat patients with unique anatomic needs (e.g., for hemipelvis prosthetics following tumor resection, in complex cervical spine reconstruction, and in customized cages that are used in the reconstruction of acetabula with massive defects) 108-110. Porous titanium metaphyseal cones have been created for total knee arthroplasty, porous femoral stems have been used for total hip arthroplasty, and prosthetic scaphoid replacements have been used for individuals with scaphoid bone loss due to osteonecrosis or highly comminuted fractures 111-113.

FDA Considerations

The FDA has been monitoring the increased clinical interest in 3D printing. In 2017, a public workshop entitled "Additive Manufacturing of Medical Devices: An Interactive Discussion on the Technical Considerations of 3D Printing" resulted in guidance to address this burgeoning field 114. The FDA recommends that 3D-printed implants and devices meet the same standards as traditionally manufactured implants 114. Additionally, the FDA recommends

documentation of the starting material, the initial state of the material (including the particle size for solid materials and the viscosity for fluids), and the certificates of analysis for all materials that are used¹¹⁴. A 3D-printed product can be dependent on a single printer; therefore, adequate maintenance of machine calibration, parameters, and settings must be ensured 114. If material is reused, documentation should describe the process by which it is reused and what monitoring is in place to determine if the reused material underwent any chemical changes 114. Postprocessing helps reduce implant fatigue failure, and the FDA recommends that these steps be documented to "include a discussion of the effects of postprocessing on the materials used and the final device."114

Several scaled implants have attained FDA approval, including the Stryker vertebral cages, the Zimmer Biomet interbody spacers and ankle fusion systems, and the Additive Orthopaedics hammer toe and wedge osteotomy system 107,115,116. Under prior FDA guidance, custom implants are exempt from premarket approval¹¹⁴. A custom implant, as defined by the FDA, must be made for the specific needs of a physician or be used for an individual patient "for the purpose of treating a sufficiently rare condition, such that conducting clinical investigations on such device would be impractical" and limits the number of such devices to ≤ 5 units per year ¹¹⁷. It is important to note that the FDA has not yet provided specific guidance for 3Dprinted biologic implants. Instead, these devices must adhere to the existing regulations for biologics that are provided through the Center for Biologics Evaluation and Research (CBER). Insurance coverage for 3D-printed devices generally follows FDA approval and medical necessity¹¹⁸.

Overview

Three-dimensional printing represents a potential transformative force in orthopaedic surgery. The technology provides easy customization by



controlling the macro- and microarchitecture, and enabling precise control of the elastic modulus, porosity, and biointegration. A variety of materials are available, including metals, polyesters, and thermoplastics, which allow for further control over implant properties.

Three-dimensional-printed guides and templates can assist with difficult surgeries for inexperienced surgeons and reduce operative time, while models are beneficial for presurgical planning and patient education. Implants can be tailored to individual patient anatomy and pathology, providing solutions to patientspecific problems. Post-processing creates implants with durability similar to that of traditional manufacturing methods, but more long-term data are necessary to assess the durability of implants in patientspecific shapes. Scaled implants have received FDA approval, and may represent an early shift in the adoption of 3D printing for devices that require greater adjustability.

Bioprinting shows the potential to replace or repair lost bone or cartilage with printed tissue or regenerative constructs. A better understanding of implant vascularization and sterility and long-term in vivo data will help validate these implants. Increasing collaboration between scientists and clinicians will inevitably result in the safer and more widespread utilization of this versatile technology in orthopaedic applications.

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